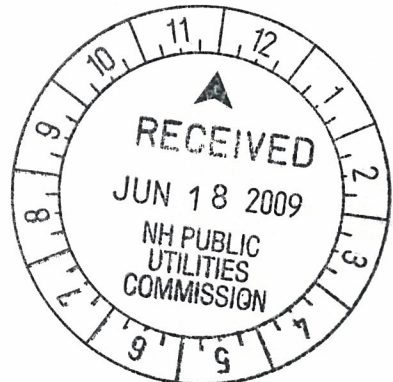


STATE OF NEW HAMPSHIRE  
PUBLIC UTILITIES COMMISSION



SAMPLE FORM FOR FILING:  
A NOTICE OF INTENT  
TO PROVIDE ELECTRIC AGGREGATION SERVICES

NOTE: When completing this form electronically, using the "tab" key after addressing each item will move the cursor to the next requirement or blank to be filled in. Please be certain to include all necessary attachments.

This signed notice of intent, together with an electronic copy on diskette, pursuant to Puc 202, shall be filed with the Executive Director and Secretary of the New Hampshire Public Utilities Commission (Commission). Any omissions and/or deficiencies which need to be corrected will be completed in a timely manner or the Commission may close this proceeding without prejudice.

1. Please check the appropriate box:  ORIGINAL NOTICE  RENEWAL NOTICE
2. Aggregator's legal name: Utility Analysts, LLC
3. Trade name(s) under which the aggregator will operate: Utility Analysts, LLC
4. Business address: (1) 24 Country Lane  
 (2) \_\_\_\_\_  
 (3) \_\_\_\_\_  
Canton CT 06019  
 (City) (State) (Zip Code)
5. Principal place of business: CT
6. Telephone number: 860-416-9360
7. Facsimile number: 800-350-5917
8. Email address: utilityanalysts.com@comcast.net
9. Name, title, business address, telephone number and facsimile number of the aggregator's principal officers: (File this on a separate page(s) labeled "Exhibit A")
10. The toll free telephone number of the customer service contact person: 860-693-8550

11. When filing an ORIGINAL notice of intent, a copy of the aggregator's authorization to do business in New Hampshire from the New Hampshire Secretary of State: (Attach as "Exhibit B")
12. A description of the geographic areas of New Hampshire in which the aggregator intends to provide service, described by a distribution company's existing franchise area, existing town boundaries, or a map with the boundary limits delineated:

All utility areas of New Hampshire where supplier opportunities exist but mainly in the Unitil and National Grid area

13. A statement that the aggregator is not representing any supplier interest or a listing of any supplier interest the aggregator intends to represent:

The aggregator is independent of all suppliers and is not employed or an agent of any of the electric or natural gas suppliers.

14. Payment of a \$250.00 filing fee to the "New Hampshire Public Utilities Commission" must accompany the original notice of intent (see below).

15. An electronic copy of this notice of intent (on diskette) is included. YES  NO

**NOTE:**

*Can e-mail if requested*

- Any municipal entity that acts as an aggregator for its citizens shall NOT be required to pay a registration fee.
- There is NO fee to renew an aggregator's registration provided that the renewal notice of intent is made in a timely manner.
- Each aggregator shall re-file with the Commission an up-to-date written notice of intent to provide service as an aggregator every 2 years on or before its original notice anniversary date, which is the date on which the Commission received the applicant's initial notice of intent.
- Unless additional time is required to review the notice of intent and the Commission extends the review period, an aggregator may begin operating within the state 45 days after filing a completed notice of intent with the Commission.
- This notice of intent and all future correspondence should be sent to:

Ms. Debra A. Howland  
Executive Director and Secretary  
State of New Hampshire  
Public Utilities Commission  
21 S. Fruit St, Suite 10  
Concord, NH 03301-2429

15. Preparer's Name and Title:

Dachel Baugher, President

16. Preparer's Signature:

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Rachel Bayne

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Utility Analysts, LLC  
Exhibit A - Aggregators Principal Officers

Rachel Baugher, President and Owner  
24 Country Lane,  
Canton CT 06019  
Phone 860-416-9360  
Fax 800-350-5917

UTILITY ANALYSTS, LLC  
24 COUNTRY LANE  
CANTON, CT 06019

1751

51-7010/2111  
BRANCH 101

6/15/09 Date

Pay to the Order of New Hampshire PUC \$ 250 —

Two hundred fifty XX/100 Dollars  Security Features Details on Back.

 Webster Bank

For \_\_\_\_\_ Rachel Bay MP

⑆ 211170101⑆ 10 0009931952⑆ 1751

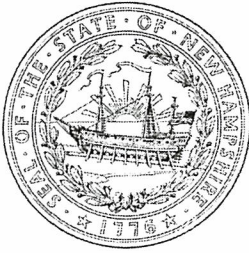
Sent to  
B.O. 06/18/09  
All

Utility Analysts, LLC - Exhibit B

# State of New Hampshire

## Department of State

Corporation Division  
107 North Main Street  
Concord, N.H. 03301-4989  
603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at [corporate@sos.state.nh.us](mailto:corporate@sos.state.nh.us). Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State  
Corporation Division

Business ID#: 614760

# State of New Hampshire

Filing fee: \$ 50.00  
Fee for Form SRA: \$ 50.00  
Total fees: \$100.00

Form FLLC-1  
RSA 304-C:12

Use black print or type.

Form must be single-sided, on 8 1/2 x 11" paper;  
double sided copies will not be accepted.

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is Utility Analysts, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_

Utility Analysts, LLC

THIRD: It is formed under the laws of Connecticut

FOURTH: The date of its formation is January 27, 1999

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is  
act as a broker or aggregator for customers  
procuring electricity or natural gas

SIXTH: The name of its registered agent in New Hampshire is Herbert J. Dolder PLLC  
Law Office

and the street address, town/city (including zip code and post office box, if any) of its registered office is

(agent's business address in New Hampshire) 95 North State Street, Suite 4, Concord NH 03301

(See attached letter)  
SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).



APPLICATION FOR REGISTRATION AS A  
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1  
(Cont.)

\*Signature: Rachel Bayle  
Print or type name: Rachel Bayle  
Title: President / Owner  
Date signed: 5/5/09

Complete address of person signing: 24 Country Lane  
Canter CT 06019

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL, CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM SRA to:  
Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989.



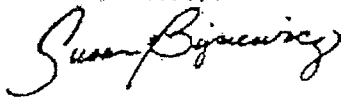
Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,  
DO HEREBY CERTIFY, that articles of organization for

UTILITY ANALYSTS LLC

a domestic limited liability company, were filed in this office on January 27, 1999.

Articles of dissolution have not been filed, and so far as indicated by the records of this office such  
limited liability company is in existence.



Secretary of the State

Date Issued: May 07, 2009

Business ID: 0612389

Express

Certificate Number: 2009107858002

Note: To verify this certificate, visit the web site <http://www.concord.sots.ct.gov>

**Form SRA – Addendum to Business Organization and Registration Forms**  
**Statement of Compliance with New Hampshire Securities Laws**

**Part I – Business Identification and Contact Information**

Business Name: Utility Analysts, LLC  
Business Address (include city, state, zip): 24 Country Lane, Canton CT 06019  
Telephone Number: 860-693-8550 E-mail: utilityanalysts.com@comcast.net  
Contact Person: Rachel Baugher  
Contact Person Address (if different): \_\_\_\_\_

**Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected.**  
**[PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C):]**

1.  Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
  - A) This business has 10 or fewer owners; and
  - B) Advertising relating to the sale of ownership interests has not been circulated; and
  - C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2.  This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - \_\_\_\_\_.
3.  This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_.
4.  This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

**Part III – Check ONE of the following items in Part III:**

1.  This business is not being formed in New Hampshire.
2.  This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

**Part IV – Certification of Accuracy**

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Rachel Baugher Signature: Rachel Baugher  
Date signed: 5/11/04  
Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_  
Date signed: \_\_\_\_\_  
Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_  
Date signed: \_\_\_\_\_